

FILE NO. A33940- 067668.0136

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : D. Kravitz  
Serial No. : 10/010,995 Examiner : Not Yet Assigned  
Filed : October 19, 2001 Group Art Unit: 2681  
For : CRYPTOGRAPHIC DATA SECURITY SYSTEM AND METHOD

LETTER

I hereby certify that this paper is being deposited  
with the United States Postal Service as first class mail in  
an envelope addressed to: Assistant Commissioner for  
Patents, Washington, D.C. 20231

**RECEIVED**  
**APR 11 2002**  
Technology Center 2600

March 25, 2002

Date of Deposit

Gary M. Butter

Attorney Name

33,841

PTO Registration No

  
Signature

March 25, 2002

Date of Signature

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

## PATENT

A Declaration and Power of Attorney form was filed with the United States Patent and Trademark Office on January 15, 2002, prior to the receipt of the Notice to File Missing Parts of Nonprovisional Application mailed on January 23, 2002. Copies of the Declaration and Power of Attorney, Transmittal Form, check in the amount of \$130.00 and the post card indicating receipt of these documents by the United States Patent and Trademark Office on February 11, 2002 are attached. A copy of the Notice to File Missing Parts of Nonprovisional Application is also enclosed with this submission.

Since the applicant is a small entity, we request that \$65.00 of the \$130.00 submitted on January 15, 2002 be credited to our Deposit Account No. 02-4377

Respectfully submitted,



Gary M. Butter  
PTO Reg. No. 33,841  
Attorney for Applicant  
(212) 408-2546

Enclosures



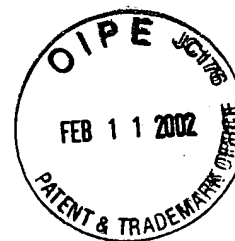
33940  
BAKER BOTTS L.L.P.  
02 FEB 25 PM 3: 54

TO		

In Re:	D. Kravitz	10/010,995
	(Applicant)	(Serial No.)
In Re:	Declaration*	01/15/02
	(Title of Paper)	(Date)
		A33940
		(File No.)

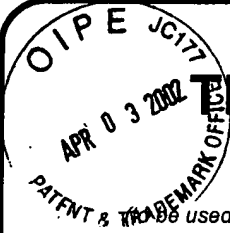
The stamp of the Patent Office Mail Room hereon acknowledges the receipt of the above-identified papers on the date indicated by such stamp.

\*and Power of Attorney/Check for \$130/Transmittal Form




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Please type a plus sign (+) inside this box → **+**

 <b>TRANSMITTAL FORM</b> To be used for all correspondence after initial filing	Application Number	10/010,995
	Filing Date	10/19/01
	First Named Inventor	D. Kravitz
	Group Art Unit	
	Examiner Name	
Total Number of Pages in This Submission	Attorney Docket Number A33940	

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Declaration and Power of Attorney
Remarks <input type="checkbox"/>		<b>RECEIVED</b> <b>APR 11 2002</b> <b>Technology Center 2600</b>

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	BakerBotts LLP 30 Rockefeller Plaza New York, NY 10112
Signature	Att Name: Jeffrey D. Sullivan PTO Reg: 43,170
Date	01/15/02

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 01/15/02	
Typed or printed name	Jeffrey D. Sullivan
Signature	
Date	01/15/02

# FEE TRANSMITTAL

## for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 130)

## Complete If Known

Application Number	10/010,995
Filing Date	10/19/01
First Named Inventor	D. Kravitz
Examiner Name	
Group Art Unit	
Attorney Docket No.	A33940

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

02-4377

Deposit Account Name

Baker Botts LLP

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Small Entity

Fee (\$)	Fee (\$)	Fee Description	Fee Paid
740	370	Utility filing fee	
330	165	Design filing fee	
510	255	Plant filing fee	
740	370	Reissue filing fee	
160	80	Provisional filing fee	

SUBTOTAL (1) (\$ 0)

## 2. EXTRA CLAIM FEES

Total Claims  20 \*\* =  0 X  =  0

Independent Claims  3 \*\* =  0 X  =  0

Multiple Dependent  =

Large Entity Small Entity

Fee (\$)	Fee (\$)	Fee Description
18	9	Claims in excess of 20
84	42	Independent claims in excess of 3
280	140	Multiple dependent claim, if not paid
84	42	** Reissue independent claims over original patent
18	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	130
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for ex parte reexamination	
920*	920*	Requesting publication of SIR prior to Examiner action	
1,840*	1,840*	Requesting publication of SIR after Examiner action	
110	55	Extension for reply within first month	
400	200	Extension for reply within second month	
920	460	Extension for reply within third month	
1,440	720	Extension for reply within fourth month	
1,960	980	Extension for reply within fifth month	
320	160	Notice of Appeal	
320	160	Filing a brief in support of an appeal	
280	140	Request for oral hearing	
1,510	1,510	Petition to institute a public use proceeding	
110	55	Petition to revive - unavoidable	
1,280	640	Petition to revive - unintentional	
1,280	640	Utility issue fee (or reissue)	
460	230	Design issue fee	
620	310	Plant issue fee	
130	130	Petitions to the Commissioner	
50	50	Processing fee under 37 CFR 1.17(q)	
180	180	Submission of Information Disclosure Stmt	
40	40	Recording each patent assignment per property (times number of properties)	
740	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
740	370	For each additional invention to be examined (37 CFR § 1.129(b))	
740	370	Request for Continued Examination (RCE)	
900	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 130)

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## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)

Jeffrey D. Sullivan

Registration No.  
(Attorney/Agent)

43,170

Telephone

(212) 408-2584

Signature

Jeffrey D. Sullivan

Date

01/15/02

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Title: Cryptographic Data Security System and Method

Use Space Below for Additional Information:



APR 03 2002

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/010,995	10/19/2001	David William Kravitz	A33940

CONFIRMATION NO. 7982

21003  
BAKER & BOTTS  
30 ROCKEFELLER PLAZA  
NEW YORK, NY 10112

## FORMALITIES LETTER



\*OC00000007348514\*

Date Mailed: 01/23/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

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*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/04/2002 SSESHE1 00000034 10010995

01 FC:105

130.00 OP